

CHAI TRUST CO LLC

Form 4

November 27, 2018

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
CHAI TRUST CO LLC

(Last) (First) (Middle)

TWO NORTH RIVERSIDE
PLAZA, SUITE 600

(Street)

CHICAGO, IL 60606

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Exterran Corp [EXTN]

3. Date of Earliest Transaction
(Month/Day/Year)
11/23/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--------|------------|---|--|---|------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 11/23/2018 | | P | | 38,600 | A | \$ 21.916 (1) | 761,226 | I | By EGI-Fund C, L.L.C. (4) |
| Common Stock | 11/26/2018 | | P | | 28,057 | A | \$ 22.3844 (2) | 789,283 | I | By EGI-Fund C, L.L.C. (4) |
| Common Stock | 11/27/2018 | | P | | 58,247 | A | \$ 22.4753 (3) | 847,530 | I | By EGI-Fund C, L.L.C. (4) |

By
EGI-Fund
C, L.L.C.
(4)

By
EGI-Fund
C, L.L.C.
(4)

By
EGI-Fund
C, L.L.C.
(4)

Edgar Filing: CHAI TRUST CO LLC - Form 4

| | | | |
|-----------------|-----------|---|--|
| Common Stock | 447,567 | I | By EGI-Fund (05-07) Investors, L.L.C. <u>(5)</u> |
| Common Stock | 332,327 | I | By EGI-Fund (08-10) Investors, L.L.C. <u>(6)</u> |
| Common Stock | 908,742 | I | By EGI-Fund (11-13) Investors, L.L.C. <u>(7)</u> |
| Common Stock | 1,849,806 | I | By EGI-Fund B, L.L.C. <u>(8)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr |
|---|--|---|---|--------------------------------------|--|--|---|---|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Reporting Owners

CHAI TRUST CO LLC
TWO NORTH RIVERSIDE PLAZA
SUITE 600
CHICAGO, IL 60606

Signatures

Chai Trust Company, LLC; By: /s/ Philip G. Tinkler, Chief Financial Officer

11/27/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$21.495 to \$22.00, inclusive. The reporting person undertakes to provide to Exterran Corporation, any security holder of Exterran Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in footnotes (1) through (3).
- (2) The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$22.26 to \$22.50, inclusive.
- (3) The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$22.41 to \$22.50, inclusive.
- (4) Chai Trust Company, LLC is the managing member of EGI-Fund C, L.L.C. and accordingly may be deemed to beneficially own these shares of Common Stock held directly by EGI-Fund C, L.L.C.
- (5) Chai Trust Company, LLC is the non-member manager of EGI-Fund (05-07) Investors, L.L.C. and accordingly may be deemed to beneficially own these shares of Common Stock held directly by EGI-Fund (05-07) Investors, L.L.C.
- (6) Chai Trust Company, LLC is the non-member manager of EGI-Fund (08-10) Investors, L.L.C. and accordingly may be deemed to beneficially own these shares of Common Stock held directly by EGI-Fund (08-10) Investors, L.L.C.
- (7) Chai Trust Company, LLC is the non-member manager of EGI-Fund (11-13) Investors, L.L.C. and accordingly may be deemed to beneficially own these shares of Common Stock held directly by EGI-Fund (11-13) Investors, L.L.C.
- (8) Chai Trust Company, LLC is the managing member of EGI-Fund B, L.L.C. and accordingly may be deemed to beneficially own these shares of Common Stock held directly by EGI-Fund B, L.L.C.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.