### Edgar Filing: SCHNITZER STEEL INDUSTRIES INC - Form 4

#### SCHNITZER STEEL INDUSTRIES INC

Form 4 July 05, 2016

### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Issuer

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Section 16. Form 4 or

2. Issuer Name and Ticker or Trading

SCHNITZER STEEL INDUSTRIES

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Symbol

1(b).

(Print or Type Responses)

HICKS WAYLAND R

1. Name and Address of Reporting Person \*

|                                      |                                      |               | INC [SCHN]  |                                       |         |   |        | IES   | (Check all applicable)   |  |   |  |
|--------------------------------------|--------------------------------------|---------------|---|---------------------------------------|---------|---|--------|---|--|--|---|--|
| (Last) 299 SW CI 350                 | (First) LAY STREET, S                | (Middle) UITE | 3. Date of Earliest Transaction (Month/Day/Year) 06/30/2016 |                                       |         |   | _      | _X_ Director 10% Owner Officer (give title below) Other (specify below) |  |  |   |  |
| PORTLAN                              | Filed(Month/Day/Year)                |               |   |                                       |         | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |        |   |  |  |   |  |
| (City)                               | (State)                              | (Zip)         | Tab   | ole I - Non-                          | ·Do     | erivative Sec   | uritie | s Acqui   | red, Disposed of,  | or Beneficial  | ly Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) |               | n Date, if  | 3.<br>Transacti<br>Code<br>(Instr. 8) | on<br>( | 4. Securities Appr Disposed of (Instr. 3, 4 and Amount  | of (D) | red (A)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Class A<br>Common<br>Stock           | 06/30/2016                           |               |   | A(1)                                  |         | 1,491.477   | A      | \$<br>17.6  | 50,625.308   | Ι  | See Note  |  |
| Class A<br>Common<br>Stock           |                                      |               |   |                                       |         |   |        |   | 500  | I  | By<br>Spouse  |  |
| Class A<br>Common<br>Stock           |                                      |               |   |                                       |         |   |        |   | 1,000  | I  | See Note  |  |
| Class A                              |                                      |               |   |                                       |         |   |        |   | 5,600  | D  |   |  |

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Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|  | 1. Title of Derivative | 2. Conversion | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if | 4.<br>Transaction | 5.<br>orNumber   | 6. Date Exerc<br>Expiration D |            | 7. Tit |            | 8. Price of Derivative | 9. Nu<br>Deriv |
|--|------------------------|---------------|--------------------------------------|-------------------------------|-------------------|------------------|-------------------------------|------------|--------|------------|------------------------|----------------|
|  | Security               | or Exercise   |                                      | any                           | Code              | of               | (Month/Day/                   | Year)      | Unde   | rlying     | Security               | Secu           |
|  | (Instr. 3)             | Price of      |                                      | (Month/Day/Year)              | (Instr. 8)        | Derivative       | e                             |            | Secur  | rities     | (Instr. 5)             | Bene           |
|  |                        | Derivative    |                                      |                               |                   | Securities       |                               |            | (Instr | . 3 and 4) |                        | Own            |
|  |                        | Security      |                                      |                               |                   | Acquired         |                               |            |        |            |                        | Follo          |
|  |                        |               |                                      |                               |                   | (A) or           |                               |            |        |            |                        | Repo           |
|  |                        |               |                                      |                               |                   | Disposed         |                               |            |        |            |                        | Trans          |
|  |                        |               |                                      |                               |                   | of (D)           |                               |            |        |            |                        | (Instr         |
|  |                        |               |                                      |                               |                   | (Instr. 3,       |                               |            |        |            |                        |                |
|  |                        |               |                                      |                               |                   | 4, and 5)        |                               |            |        |            |                        |                |
|  |                        |               |                                      |                               |                   |                  |                               |            |        | Amount     |                        |                |
|  |                        |               |                                      |                               |                   |                  | Data                          | E          |        | or         |                        |                |
|  |                        |               |                                      |                               |                   |                  | Date<br>Exercisable           | Expiration | Title  | Number     |                        |                |
|  |                        |               |                                      |                               |                   | Exercisable Date |                               | of         |        |            |                        |                |
|  |                        |               |                                      |                               | Code V            | (A) (D)          |                               |            |        | Shares     |                        |                |

### **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |               |  |  |  |  |
|--|---------------|-----------|---------------|--|--|--|--|
| 1 8  | Director      | 10% Owner | Officer Other |  |  |  |  |
| HICKS WAYLAND R<br>299 SW CLAY STREET<br>SUITE 350<br>PORTLAND, OR 97201 | X             |           |               |  |  |  |  |

# **Signatures**

Peter B. Saba, Attorney-in-Fact 07/05/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Non-employee director quarterly fee elected by reporting person to be deferred into stock account under the issuer's Deferred Compensation Plan for Non-Employee Directors.
- (2) Deferred Shares that have been or will be credited to the reporting person's account under the issuer's Deferred Compensation Plan for Non-Employee Directors.

Reporting Owners 2

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(3) Shares are held by Cynthia M Lybrand, Trustee of the Hicks Surviorship Fund of the 2003 Dynasty Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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