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ESPEY MFG & ELECTRONICS CORP

Form 4

March 06, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB o

Expires:

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Check this box if no longer subject to Section 16.

Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Washington, D.C. 20549

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Ad St Pierre Mar	•	orting Person *	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
		ESPEY MFG & ELECTRONICS CORP [ESP]		(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	_X_ Director 10% OwnerX_ Officer (give title Other (specify		
6 CANOPY LANE			03/06/2014	below) below) President/CEO		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line)		
BALLSTON	LAKE, NY	7 12019		_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Ac	quired, Disposed of, or Beneficially Owned		

							1 015011		
(City)	(State) ((Zip) Table	e I - Non-Do	erivative S	Securi	ities Acqu	iired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock - \$.33 1/3 par value	03/05/2014		Code V A	Amount 250	(D)	Price \$ 28.5	(Instr. 3 and 4) 1,835	D (1)	
Common Stock - \$.33 1/3 par value	03/06/2014		A	365	A	\$ 15.95	665	D	
Common Stock - \$.33 1/3 par value							2,355	I	ESOP Trust

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Derion Securate Acquain (A) of (E) of (E)	vative arities uired or cosed O) r. 3, 4,	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and A Underlying S (Instr. 3 and	Securities	8. l De Sec (In
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 15.95	03/05/2014		D		365	08/03/2011	08/03/2019	Common Stock	1,835	\$
Stock Option	\$ 19.2						08/19/2012	08/19/2020	Common Stock	4,000	
Stock Option	\$ 25.1						08/26/2013	08/26/2021	Common Stock	4,000	
Stock Option	\$ 25.18						06/01/2014	06/01/2022	Common Stock	4,000	
Stock Option	\$ 27.22						08/23/2015	08/23/2025	Common Stock	4,000	

Reporting Owners

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
St Pierre Mark Francis 6 CANOPY LANE BALLSTON LAKE, NY 12019	X		President/CEO			

Signatures

/s/ Mark F. St. Pierre	03/06/2014		
**Signature of Reporting Person	Date		

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) IRA Account

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.