Edgar Filing: BEAR STEARNS COMPANIES INC - Form 4

BEAR STEARNS COMPANIES INC Form 4 December 13, 2002

SEC Form 4

FORM 4	UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL		
[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	k this box if no longer to Section 16. Form 4 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP tions may continue. OMB Number: 3235-028 Expires: January 31, 200								5	
1. Name and Address of Reportin Greenberg, Alan C.	2. Issuer Name and Ticker or Trading Symbol		4. Statement for (Month/Year)		Relationship of Reporting Person(s) to suer (Check all applicable)			to		
(Last) (First) c/o Bear, Stearns & Co. Inc. 383 Madison Avenue (Street) New York, NY 10179	The Bear Stearns Companies Inc. BSC 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)		5. If Amendment, Date of Original (Month/Year)		X wner ther					
(City) (State) U.S.A.					Controlling Controlling Controlling Controlling Controlling Controlling Controlling Controlling Controlling					
		Disposed of, or 1 3. Transaction Code and Voluntary Code (Instr. 8) Code V	Amount	uired (A) or 1 5)	5. Amount of Securities Beneficially Owned at End ofMonth (Instr. 3 and 4)	or Indi)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

(over) SEC 1474 (3-99)

Greenberg, Alan C. - December 2002

Form 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exercise Price of	T	Transaction		Expiration	7. Title and Amount of Underlying Securities	8. Price of Derivative Security	 Owner- ship	 Nature of Indirect Beneficial Ownership

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	Deri- vative Security	(Month/ Day/ Year)	Voluntary (V) Code (Instr.8)	Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5)	(Month/Day/Year) (DE) (ED)	(Instr. 3 and 4)	(Instr.5)	at End of Month (Instr.4)	Deriv- ative Security: Direct (D) or Indirect (I)	(Instr.4)
			Code V							
CAP Units (2002)	\$0.0000	12/11/2002	A (1)	(A) 75,748	11/30/2007 11/30/2007	Common Stock - 75,748	\$60.8000	75,748	D	

Page 2 SEC 1474 (3-99)

Explanation of Responses :

** Intentional misstatements or omissions of facts By: Alan C. Greenberg

Date

Power of Attorney

constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

** Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient,

See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form

are not

required to respond unless the form displays a currently valid OMB number.

Greenberg, Alan C. - December 2002

Form 4 (continued)

FOOTNOTE Descriptions for The Bear Stearns Companies Inc. BSC

Form 4 - December 2002

Alan C. Greenberg c/o Bear, Stearns & Co. Inc. 383 Madison Avenue New York, NY 10179

Explanation of responses:

(1) Deferral of compensation and credit to Reporting Person's Account (as of 12/11/02) pursuant to the Issuer's Capital Accumulation Plan for Senior Managing Directors (CAP Plan); exempt under Rule 16b-3.