JAMBA, INC. Form 4 November 12, 2015

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number:

Expires:

January 31, 2005

0.5

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Check this box if no longer subject to Section 16.

Form 4 or

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Welling Glenn W.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last)

(First)

(Middle)

JAMBA, INC. [JMBA] 3. Date of Earliest Transaction

(Month/Day/Year) 11/09/2015

\_X\_\_ Director

\_X\_\_ 10% Owner Officer (give title \_ Other (specify

C/O ENGAGED CAPITAL, LLC. 610 NEWPORT CENTER DR. **SUITE 250** 

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

NEWPORT BEACH, CA 92660

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative S	Securi	ities Acqu	iired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit or(A) or Dis (Instr. 3, 4	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.001 per share (1)	11/09/2015		P(5)	15,768		\$ 14.47	1,197,547	I	By: Engaged Capital Master Feeder II, LP (3)
Common Stock, par value \$0.001 per share (1)	11/10/2015		P(5)	13,181	A	\$ 13.75	1,210,728	I	By: Engaged Capital Master Feeder II,

			LP (3)
Common Stock, par value \$0.001 per share (1)	620,039	I	By: Engaged Capital Master Feeder I, LP (4)
Common Stock, par value \$0.001 per share (1)	5,851 <u>(2)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. onNumber	6. Date Exerc Expiration Da			le and unt of	8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	rities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	. 3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date	Expiration	Title			
						Exercisable	Date		of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Welling Glenn W. C/O ENGAGED CAPITAL, LLC 610 NEWPORT CENTER DR. SUITE 250 NEWPORT BEACH, CA 92660	X	X				
Engaged Capital LLC 610 NEWPORT CENTER DRIVE		X				

Reporting Owners 2

X

SUITE 250

NEWPORT BEACH, CA 92660

Engaged Capital Holdings, LLC

610 NEWPORT CENTER DRIVE

SUITE 250

NEWPORT BEACH, CA 92660

Engaged Capital Master Feeder I, LP

CRICKET SQUARE, HUTCHINS DRIVE See

P.O. BOX 2681 Footnote 1

GRAND CAYMAN, E9 KY1-1111

ENGAGED CAPITAL I LP

610 NEWPORT CENTER DRIVE See

SUITE 250 Footnote 1

NEWPORT BEACH, CA 92660

Engaged Capital I Offshore Ltd

610 NEWPORT CENTER DRIVE See

SUITE 250 Footnote 1

NEWPORT BEACH, CA 92660

Engaged Capital Master Feeder II, LP

CRICKET SOUARE, HUTCHINS DRIVE See

P.O. BOX 2681 Footnote 1

GRAND CAYMAN, E9 KY1-1111

ENGAGED CAPITAL II LP

610 NEWPORT CENTER DRIVE See

SUITE 250 Footnote 1

NEWPORT BEACH, CA 92660

Engaged Capital II Offshore, Ltd.

610 NEWPORT CENTER DRIVE See

SUITE 250 Footnote 1

NEWPORT BEACH, CA 92660

## **Signatures**

/s/ Glenn W. Welling 11/12/2015

\*\*Signature of Reporting Person Date

Engaged Capital, LLC; By: /s/ Glenn W. Welling, Authorized Signatory 11/12/2015

\*\*Signature of Reporting Person Date

Date

11/12/2015

Engaged Capital Holdings, LLC; By: /s/ Glenn W. Welling, Authorized Signatory 11/12/2015

\*\*Signature of Reporting Person

Engaged Capital Master Feeder I, LP; By: Engaged Capital, LLC; By: /s/ Glenn W. Welling,
Authorized Signatory

11/12/2015

\*\*Signature of Reporting Person Date

Engaged Capital I, LP; By: Engaged Capital, LLC; By: /s/ Glenn W. Welling, Authorized

Signatory

\*\*Signature of Reporting Person Date

Signatures 3

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Engaged Capital I Offshore, Ltd.; By: /s/ Glenn W. Welling, Director

\*\*Signature of Reporting Person

Engaged Capital Master Feeder II, LP; By: Engaged Capital, LLC; By: /s/ Glenn W. Welling,
Authorized Signatory

\*\*Signature of Reporting Person

Date

Engaged Capital II, LP; By: Engaged Capital, LLC; By: /s/ Glenn W. Welling, Authorized
Signatory

\*\*Signature of Reporting Person

Date

11/12/2015

\*\*Signature of Reporting Person

Date

11/12/201

Engaged Capital II Offshore Ltd.; By: /s/ Glenn W. Welling, Director

11/12/2015 Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 4 is filed jointly by Engaged Capital Master Feeder I, LP ("Engaged Capital Master I"), Engaged Capital Master Feeder II, LP ("Engaged Capital Master II"), Engaged Capital I, LP ("Engaged Capital I"), Engaged Capital I Offshore, Ltd. ("Engaged Capital Offshore II"), Engaged Capital II, LP ("Engaged Capital II"), Engaged Capital II Offshore Ltd. ("Engaged Capital Offshore II"), Engaged Capital, LLC ("Engaged Capital"), Engaged Capital Holdings, LLC ("Engaged Holdings") and Glenn W. Welling (collectively, the

- "Reporting Persons"). Each of the Reporting Persons may be deemed to be a member of a Section 13(d) group that collectively beneficially owns more than 10% of the Issuer's outstanding shares of Common Stock. Mr. Welling is also a director of the Issuer. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein.
- (2) The number of securities reported in this column includes 2,000 restricted stock units held by Mr. Welling as of the date of this filing.
  - Shares owned directly by Engaged Capital Master II. As feeder funds of Engaged Capital Master II, each of Engaged Capital II and Engaged Capital Offshore II may be deemed to beneficially own the shares owned directly by Engaged Capital Master II. As the general partner and investment advisor of Engaged Capital Master II, Engaged Capital may be deemed to beneficially own the shares owned
- (3) directly by Engaged Capital Master II. Engaged Holdings, as the managing member of Engaged Capital, may be deemed to beneficially own the shares owned directly by Engaged Capital Master II. Mr. Welling, as the founder and Chief Investment Officer ("CIO") of Engaged Capital and sole member of Engaged Holdings, may be deemed to beneficially own the shares owned directly by Engaged Capital Master II.
  - Shares owned directly by Engaged Capital Master I. As feeder funds of Engaged Capital Master I, each of Engaged Capital I and Engaged Capital Offshore may be deemed to beneficially own the shares owned directly by Engaged Capital Master I. As the general partner and investment advisor of Engaged Capital Master I, Engaged Capital may be deemed to beneficially own the shares owned
- directly by Engaged Capital Master I. Engaged Holdings, as the managing member of Engaged Capital, may be deemed to beneficially own the shares owned directly by Engaged Capital Master I. Mr. Welling, as the founder and CIO of Engaged Capital and sole member of Engaged Holdings, may be deemed to beneficially own the shares owned directly by Engaged Capital Master I.
- (5) Purchase effected pursuant to a Rule 10b5-1 trading plan adopted on September 8, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.