

Edgar Filing: GABELLI EQUITY TRUST INC - Form NSAR-A

GABELLI EQUITY TRUST INC

Form NSAR-A

August 23, 2016

PAGE 1  
000 A000000 06/30/2016  
000 C000000 0000794685  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 THE GABELLI EQUITY TRUST INC.  
001 B000000 811-04700  
001 C000000 9149215100  
002 A000000 ONE CORPORATE CENTER  
002 B000000 RYE  
002 C000000 NY  
002 D010000 10580  
002 D020000 1422  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 GABELLI FUNDS, LLC  
008 B000001 A  
008 C000001 801-37706  
008 D010001 RYE  
008 D020001 NY  
008 D030001 10580  
008 D040001 1422  
010 A000001 GABELLI FUNDS, LLC  
010 B000001 801-37706  
010 C010001 RYE  
010 C020001 NY  
010 C030001 10580  
010 C040001 1422  
010 A000002 BNY MELLON INVESTMENT SERVICING (US) INC.  
010 B000002 84-01761  
010 C010002 WILMINGTON  
010 C020002 DE  
010 C030002 19809  
012 A000001 COMPUTERSHARE TRUST COMPANY, N.A.  
012 B000001 84-05925  
012 C010001 COLLEGE STATION  
012 C020001 TX  
012 C030001 77842  
012 C040001 3170  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 NEW YORK  
013 B020001 NY  
PAGE 2  
013 B030001 10017  
014 A000001 G.RESEARCH, LLC  
014 B000001 8-21373  
014 A000002 G.DISTRIBUTORS, LLC  
014 B000002 8-68697  
015 A000001 THE BANK OF NEW YORK MELLON

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015 B000001 C  
015 C010001 EVERETT  
015 C020001 MA  
015 C030001 02149  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 36  
019 C000000 GABELLIGRP  
020 A000001 G.RESEARCH, LLC  
020 B000001 13-2885006  
020 C000001 38  
020 A000002 CRAIG-HALLUM CAPITAL GROUP LLC  
020 B000002 04-3672881  
020 C000002 22  
020 A000003 UBS SECURITIES LLC  
020 B000003 13-3873456  
020 C000003 7  
020 A000004 INT'L STRATEGY & INVESTMENT GROUP, INC.  
020 B000004 13-3599877  
020 C000004 6  
020 A000005 RBC CAPITAL MARKETS LLC  
020 B000005 41-1416330  
020 C000005 5  
020 A000006 JPMORGAN CHASE & CO.  
020 B000006 13-2624428  
020 C000006 5  
020 A000007 PIPER JAFFRAY & CO.  
020 B000007 41-0953246  
020 C000007 4  
020 A000008 COWEN & CO., LLC  
020 B000008 38-3698933  
020 C000008 4  
020 A000009 GOLDMAN, SACHS & CO.  
020 B000009 13-5108880  
020 C000009 4  
020 A000010 WELLS FARGO ADVISORS LLC  
020 B000010 34-1542819  
020 C000010 3  
021 000000 123  
022 A000001 BARCLAYS CAPITAL, INC.  
022 B000001 06-1031656  
022 C000001 92486  
022 D000001 87483  
022 A000002 DAIWA SECURITIES AMERICA, INC.  
PAGE 3  
022 B000002 13-5680329  
022 C000002 93140  
022 D000002 0  
022 A000003 NOMURA SECURITIES INTERNATIONAL, INC.  
022 B000003 13-2642206  
022 C000003 77154  
022 D000003 0  
022 A000004 CABLEVISION SYSTEMS CORP.  
022 C000004 0  
022 D000004 33963  
022 A000005 TIME WARNER CABLE INC.  
022 C000005 0  
022 D000005 18900  
022 A000006 THE ADT CORP.  
022 C000006 0  
022 D000006 12222

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|     |         |                           |        |
|-----|---------|---------------------------|--------|
| 022 | A000007 | PRECISION CASTPARTS CORP. |        |
| 022 | C000007 |                           | 0      |
| 022 | D000007 |                           | 5640   |
| 022 | A000008 | CLECO CORP.               |        |
| 022 | C000008 |                           | 0      |
| 022 | D000008 |                           | 4983   |
| 022 | A000009 | BOULDER BRANDS INC.       |        |
| 022 | C000009 |                           | 0      |
| 022 | D000009 |                           | 1650   |
| 022 | A000010 | JEFFERIES & CO., INC.     |        |
| 022 | B000010 | 95-2622900                |        |
| 022 | C000010 |                           | 1000   |
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| 023 | C000000 |                           | 263780 |
| 023 | D000000 |                           | 165278 |
| 024 | 000000  | Y                         |        |
| 025 | A000001 | WELLS FARGO & CO.         |        |
| 025 | B000001 | 94-1347393                |        |
| 025 | C000001 | E                         |        |
| 025 | D000001 |                           | 11123  |
| 025 | A000002 | JPMORGAN CHASE & CO.      |        |
| 025 | B000002 | 13-2624428                |        |
| 025 | C000002 | E                         |        |
| 025 | D000002 |                           | 3815   |
| 026 | A000000 | N                         |        |
| 026 | B000000 | Y                         |        |
| 026 | C000000 | N                         |        |
| 026 | D000000 | Y                         |        |
| 026 | E000000 | N                         |        |
| 026 | F000000 | Y                         |        |
| 026 | G010000 | Y                         |        |
| 026 | G020000 | N                         |        |
| 026 | H000000 | N                         |        |
| 027 | 000000  | N                         |        |
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|     |         | PAGE                      | 4      |
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| 028 | B010000 |                           | 0      |
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| 028 | C020000 |                           | 0      |
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| 028 | D010000 |                           | 0      |
| 028 | D020000 |                           | 0      |
| 028 | D030000 |                           | 0      |
| 028 | D040000 |                           | 0      |
| 028 | E010000 |                           | 0      |
| 028 | E020000 |                           | 0      |
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| 028 | E040000 |                           | 0      |
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| 028 | F040000 |                           | 0      |
| 028 | G010000 |                           | 0      |
| 028 | G020000 |                           | 0      |
| 028 | G030000 |                           | 0      |

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|     |         |       |   |
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| 028 | G040000 |       | 0 |
| 028 | H000000 |       | 0 |
| 030 | A000000 |       | 0 |
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| 031 | B000000 |       | 0 |
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| 036 | B000000 |       | 0 |
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| 046 | 000000  | N     |   |
| 047 | 000000  | Y     |   |
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| 048 | I010000 |       | 0 |
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| 048 | J010000 |       | 0 |
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| 054 | B000000 | Y     |   |
| 054 | C000000 | Y     |   |
| 054 | D000000 | N     |   |
| 054 | E000000 | N     |   |
| 054 | F000000 | N     |   |

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054 G000000 N  
054 H000000 Y  
054 I000000 N  
054 J000000 Y  
054 K000000 N  
054 L000000 N  
054 M000000 Y  
054 N000000 N  
054 O000000 N  
055 A000000 N  
055 B000000 N  
056 000000 Y  
057 000000 N  
058 A000000 N  
059 000000 Y  
PAGE 6  
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060 B000000 N  
061 000000 0  
062 A000000 N  
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066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 Y  
066 F000000 N  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
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070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 Y  
070 E020000 N  
070 F010000 Y  
070 F020000 Y

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|       |         |   |         |
|-------|---------|---|---------|
| 070   | G010000 | Y |         |
| 070   | G020000 | N |         |
| 070   | H010000 | Y |         |
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| 070   | I010000 | N |         |
|       | PAGE    | 7 |         |
| 070   | I020000 | N |         |
| 070   | J010000 | Y |         |
| 070   | J020000 | N |         |
| 070   | K010000 | Y |         |
| 070   | K020000 | Y |         |
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| 070   | L020000 | Y |         |
| 070   | M010000 | Y |         |
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| 070   | N010000 | Y |         |
| 070   | N020000 | N |         |
| 070   | O010000 | N |         |
| 070   | O020000 | N |         |
| 070   | P010000 | Y |         |
| 070   | P020000 | N |         |
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| 070   | R010000 | N |         |
| 070   | R020000 | N |         |
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| 071   | C000000 |   | 1562292 |
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| 072   | K000000 |   | 0       |
| 072   | L000000 |   | 187     |
| 072   | M000000 |   | 89      |
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| 072   | O000000 |   | 0       |
| 072   | P000000 |   | 1       |
| 072   | Q000000 |   | 22      |
| 072   | R000000 |   | 29      |
| 072   | S000000 |   | 29      |
| 072   | T000000 |   | 0       |
| 072   | U000000 |   | 0       |
| 072   | V000000 |   | 0       |
| 072   | W000000 |   | 251     |
| 072   | X000000 |   | 8883    |
| 072   | Y000000 |   | 7       |
| 072   | Z000000 |   | 9587    |
| 072AA | 000000  |   | 14154   |
| 072BB | 000000  |   | 0       |
|       | PAGE    | 8 |         |
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| 072CC | 020000  |   | 0       |
| 072DD | 010000  |   | 6577    |
| 072DD | 020000  |   | 0       |

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|             |         |
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| 072EE000000 | 7235    |
| 073 A010000 | 0.0300  |
| 073 A020000 | 0.0000  |
| 073 B000000 | 0.0300  |
| 073 C000000 | 0.2400  |
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| 074 B000000 | 0       |
| 074 C000000 | 88171   |
| 074 D000000 | 2453    |
| 074 E000000 | 1040    |
| 074 F000000 | 1589144 |
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| 074 I000000 | 215     |
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| 074 N000000 | 1684641 |
| 074 O000000 | 2815    |
| 074 P000000 | 2143    |
| 074 Q000000 | 0       |
| 074 R010000 | 0       |
| 074 R020000 | 0       |
| 074 R030000 | 0       |
| 074 R040000 | 1694    |
| 074 S000000 | 413666  |
| 074 T000000 | 1264323 |
| 074 U010000 | 219245  |
| 074 U020000 | 0       |
| 074 V010000 | 5.77    |
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| 074 W000000 | 0.0000  |
| 074 X000000 | 83038   |
| 074 Y000000 | 39399   |
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| 075 B000000 | 1626100 |
| 076 000000  | 5.49    |
| 077 A000000 | Y       |
| 077 B000000 | N       |
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| 077 D000000 | N       |
| 077 E000000 | N       |
| 077 F000000 | N       |
| 077 G000000 | N       |
| 077 H000000 | N       |
| 077 I000000 | Y       |
| PAGE 9      |         |
| 077 J000000 | N       |
| 077 K000000 | N       |
| 077 L000000 | N       |
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| 077 O000000 | N       |
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| 080 C000000 | 0       |
| 081 B000000 | 0       |
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|           |                     |                                 |
|-----------|---------------------|---------------------------------|
| 083       | B000000             | 0                               |
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| 086       | A010000             | 0                               |
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| 086       | C010000             | 0                               |
| 086       | C020000             | 0                               |
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| 086       | D020000             | 0                               |
| 086       | E010000             | 0                               |
| 086       | E020000             | 0                               |
| 086       | F010000             | 0                               |
| 086       | F020000             | 0                               |
| 087       | A010000             | SERIES G PREFERRED STOCK        |
| 087       | A020000             | 362397176                       |
| 087       | A030000             | GABPRG                          |
| 087       | B010000             | 5.000% SERIES H PREFERRED STOCK |
| 087       | B020000             | 362397861                       |
| 087       | B030000             | GABPRH                          |
| 087       | C010000             | 5.450% SERIES J PREFERRED STOCK |
| 087       | C020000             | 362397853                       |
| 087       | C030000             | GABPRJ                          |
| 088       | A000000             | N                               |
| 088       | B000000             | N                               |
| 088       | C000000             | N                               |
| 088       | D000000             | Y                               |
| SIGNATURE | MARIO K. MAZZEI     |                                 |
| TITLE     | ASSISTANT TREASURER |                                 |