Pattern Energy Group Inc.

Form 4

January 05, 2016

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

| 1. Name and Address of Reporting Person ** Bellinger Patricia S. |         |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer   |  |  |  |
|--|---------|----------|--|--|--|--|--|
|  |         |          | Pattern Energy Group Inc. [PEGI]                   | (Check all applicable)   |  |  |  |
| (Last)   | (First) | (Middle) | 3. Date of Earliest Transaction                    |  |  |  |  |
|  |         |          | (Month/Day/Year)                                   | X Director 10% Owner   |  |  |  |
| PIER 1, BAY 3  |         |          | 01/04/2016   | Officer (give title below) Other (specify below)   |  |  |  |
| (Street)   |         |          | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check  |  |  |  |
| SAN FRANCISCO, CA 94111  |         |          | Filed(Month/Day/Year)                              | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |
| (81.)  | (8      | (T)      |  |  |  |  |  |

|                                      |   |   |  |   |        |  | reison   |   |           |
|--------------------------------------|---|---|--|---|--------|--|--|---|-----------|
| (City)                               | (State) (Z                              | Zip) Table  | I - Non-De                             | erivative S   | Securi | ties Ac  | quired, Disposed   | of, or Beneficial   | lly Owned |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |           |
| Class A<br>Common<br>Stock           | 01/04/2016                              |   | A                                      | 4,484<br>(1)  | A      | \$ 0   | 12,333   | D   |           |
| Class A<br>Common<br>Stock           |   |   |  |   |        |  | 6,000  | I   | By spouse |
| Class A<br>Common<br>Stock           |   |   |  |   |        |  | 100  | I   | By child  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: Pattern Energy Group Inc. - Form 4

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title o                          |   | 3. Transaction Date |   | 4.                              | 5.   | 6. Date Exerc       |                    | 7. Title                                 |  | 8. Price of                          | 9. Nu   |
|-------------------------------------|---|---------------------|---|---------------------------------|--|---------------------|--------------------|--|--|--------------------------------------|---|
| Derivativ<br>Security<br>(Instr. 3) | e Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Year)    | Execution Date, if any (Month/Day/Year) | Transacti<br>Code<br>(Instr. 8) | ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | <b>.</b>            |                    | Amoun<br>Underly<br>Securit<br>(Instr. 2 | ying                                   | Derivative<br>Security<br>(Instr. 5) | Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|                                     |   |                     |   | Code V                          | (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title 1                                  | Amount<br>or<br>Number<br>of<br>Shares |                                      |   |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Bellinger Patricia S.
PIER 1, BAY 3 X
SAN FRANCISCO, CA 94111

# **Signatures**

/s/ Dyann S. Blaine,

attorney-in-fact 01/05/2016

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents deferred stock units granted for director service.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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