

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-B

TRI-CONTINENTAL CORP  
Form NSAR-B  
February 28, 2011

PAGE 1  
000 B000000 12/31/2010  
000 C000000 0000099614  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 TRI-CONTINENTAL CORPORATION  
001 B000000 811-00266  
001 C000000 6126715682  
002 A000000 50606 AMERIPRISE FINANCIAL CENTER  
002 B000000 MINNEAPOLIS  
002 C000000 MN  
002 D010000 55474  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC  
008 B000001 A  
008 C000001 801-25943  
008 D010001 BOSTON  
008 D020001 MA  
008 D030001 02110  
010 A000001 AMERIPRISE FINANCIAL, INC.  
010 C010001 MINNEAPOLIS  
010 C020001 MN  
010 C030001 55474  
012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.  
012 B000001 84-5685  
012 C010001 BOSTON  
012 C020001 MA  
012 C030001 02111  
013 A000001 ERNST & YOUNG LLP  
013 B010001 MINNEAPOLIS  
013 B020001 MN  
013 B030001 55402  
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.  
014 B000001 8-25845  
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.  
014 B000002 8-42582  
014 A000003 SECURITIES AMERICA, INC.  
014 B000003 8-26602  
014 A000004 AMERIPRISE FINANCIAL SERVICES, INC.  
014 B000004 8-16791  
014 A000005 RIVERSOURCE DISTRIBUTORS, INC.  
PAGE 2  
014 B000005 8-67196  
015 A000001 JPMORGAN CHASE BANK, N.A.  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10005

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015 E010001 X  
015 A000002 JPMORGAN CHASE BANK, N.A.  
015 B000002 S  
015 C010002 NEW YORK  
015 C020002 NY  
015 C030002 10005  
015 E040002 X  
018 000000 Y  
019 A000000 Y  
019 B000000 263  
019 C000000 COLUMBIAFD  
020 A000001 UBS SECURITIES LLC  
020 B000001 13-3873456  
020 C000001 77  
020 A000002 REDI INVESTMENTS, LLC  
020 B000002 13-4125743  
020 C000002 65  
020 A000003 INTL STRATEGY & INVESTMENT GROUP INC.  
020 B000003 13-3599877  
020 C000003 46  
020 A000004 WEEDEN & CO. L.P.  
020 B000004 13-3364318  
020 C000004 32  
020 A000005 JONESTRADING INSTITUTIONAL SERVICES LLC  
020 B000005 51-0484896  
020 C000005 23  
020 A000006 FRIEDMAN, BILLINGS, RAMSEY & CO., INC.  
020 B000006 32-0045263  
020 C000006 18  
020 A000007 CITIGROUP GLOBAL MARKETS INC.  
020 B000007 11-2418191  
020 C000007 12  
020 A000008 LIQUIDNET, INC.  
020 B000008 13-4095933  
020 C000008 11  
020 A000009 ITG INC.  
020 B000009 95-2848406  
020 C000009 11  
020 A000010 THOMAS WEISEL PARTNERS LLC  
020 B000010 94-3310172  
020 C000010 10  
021 000000 343  
022 A000001 J.P. MORGAN SECURITIES, INC.  
022 B000001 13-3224016  
022 C000001 230139  
PAGE 3  
022 D000001 230568  
022 A000002 DEUTSCHE BANK SECURITIES INC.  
022 B000002 13-2730828  
022 C000002 138295  
022 D000002 148656  
022 A000003 BARCLAYS CAPITAL  
022 B000003 06-1031656  
022 C000003 98343  
022 D000003 98375  
022 A000004 CREDIT SUISSE SECURITIES (USA) L.L.C.  
022 B000004 13-5659485  
022 C000004 47474  
022 D000004 50109  
022 A000005 UBS SECURITIES LLC  
022 B000005 13-3873456  
022 C000005 34084

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| 022 | D000005 | 37952                    |
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| 023 | D000000 | 565660                   |
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| 025 | A000001 | CITIGROUP, INC.          |
| 025 | B000001 | 11-2418191               |
| 025 | C000001 | E                        |
| 025 | D000001 | 19751                    |
| 025 | A000002 | FRANKLIN RESOURCES, INC. |
| 025 | B000002 | 13-2670991               |
| 025 | C000002 | E                        |
| 025 | D000002 | 4227                     |
| 025 | A000003 | THE GOLDMAN SACHS GROUP  |
| 025 | B000003 | 13-5108880               |
| 025 | C000003 | E                        |
| 025 | D000003 | 9288                     |
| 025 | A000004 | JPMorgan Chase & Co.     |
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| 054 | H000000 | Y        |
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| 054 | J000000 | Y        |
| 054 | K000000 | N        |
| 054 | L000000 | Y        |

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054 M000000 Y  
054 N000000 N  
054 O000000 Y  
055 A000000 N  
055 B000000 N  
056 000000 Y  
057 000000 N  
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070 H010000 Y  
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070 I020000 N

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070 J010000 Y  
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 070 K020000 Y  
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     PAGE 7  
 070 L020000 Y  
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 073 A020000 0.0000  
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|     |         |                                  |
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| 076 | 000000  | 13.76                            |
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| 078 | 000000  | N                                |
| 080 | A000000 | GREAT AMERICAN INSURANCE COMPANY |
| 080 | B000000 | FEDERAL INSURANCE COMPANY        |
| 080 | C000000 | 93100                            |
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| 081 | B000000 | 144                              |
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084 A000000 N  
084 B000000 0  
085 A000000 Y  
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086 A010000 279  
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086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 TRI-CONTINENTAL - COMMON STOCK  
087 A020000 895436103  
087 A030000 TY  
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK  
087 B020000 895436202  
087 B030000 TY  
087 C010000 TRI-CONTINENTAL - WARRANT  
087 C020000 895436111  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 Y  
SIGNATURE  
TITLE

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Minneapolis State of: Minnesota Date: February 18, 2011

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

-----  
Michael G. Clarke  
Treasurer

/s/ Wade M. Voigt

-----  
Wade M. Voigt  
Assistant Treasurer  
Witness

TRI-CONTINENTAL CORPORATION