

Edgar Filing: CENTERPOINT ENERGY INC - Form U-9C-3

CENTERPOINT ENERGY INC
Form U-9C-3
November 23, 2005

U.S. SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT PURSUANT TO RULE 58 OF
THE PUBLIC UTILITY HOLDING COMPANY ACT OF 1935

For the quarterly period ended September 30, 2005

CENTERPOINT ENERGY, INC.
(NAME OF REGISTERED HOLDING COMPANY)

1111 Louisiana, Houston, Texas 77002
(Address of Principal Executives Offices)

Inquiries concerning this Form
U-9C-3 may be directed to either:

Walter L. Fitzgerald
Vice President and Controller
CenterPoint Energy, Inc.
1111 Louisiana
Houston, Texas 77002
(713) 207-7425

OR

Rufus Scott
Vice President & Deputy General Counsel
CenterPoint Energy, Inc.
1111 Louisiana
Houston, Texas 77002
(713) 207-7451

-1-

CENTERPOINT ENERGY, INC.

FORM U-9C-3

FOR THE QUARTER ENDED SEPTEMBER 30, 2005

Table of Contents

Edgar Filing: CENTERPOINT ENERGY INC - Form U-9C-3

Item 1 Organization Chart.....
 Item 2 Issuances and Renewals of Securities and Capital Contributions.....
 Item 3 Associated Transactions.....
 Item 4 Summary of Aggregate Investment.....
 Item 5 Other Investments.....
 Item 6 Financial Statements and Exhibits.....
 Signature.....

-2-

ITEM 1. ORGANIZATION CHART

NAME OF REPORTING COMPANY	ENERGY OR GAS RELATED COMPANY	DATE OF ORGANIZATION	STATE OF ORGANIZATION	PERCENTAGE OF VOTING SECURITIES HELD
CenterPoint Energy, Inc. Utility Holding Company, LLC(a) Subsidiaries of Utility Holding Company, LLC:	Holding Company Intermediate Holding Company			
CenterPoint Energy Power Systems, Inc.	Energy Related	04/27/2000	Del	100%
CenterPoint Energy Products, Inc.	Energy Related	01/27/1993	Del	100%
Utility Rail Services, Inc. *	Energy Related	06/10/1993	Del	100%
CenterPoint Energy Resources Corp. Subsidiaries of CenterPoint Energy Resources Corp.:				
ALG Gas Supply Company*	Energy Related	01/11/1982	Del	100%
Arkla Industries, Inc.	Energy Related	09/04/1957	Del	100%
Arkla Products Company*	Energy Related	12/11/1987	Del	100%
CenterPoint Energy Alternative Fuels, Inc.	Energy Related	02/25/1997	Tex	100%

Edgar Filing: CENTERPOINT ENERGY INC - Form U-9C-3

CenterPoint Energy Field Services, Inc.	Gas Related	10/12/1993	Del	100%
CenterPoint Energy Gas Processing, Inc.	Gas Related	10/25/1995	Del	100%

-3-

NAME OF REPORTING COMPANY	ENERGY OR GAS RELATED COMPANY	DATE OF ORGANIZATION	STATE OF ORGANIZATION	PERCENTAGE OF VOTING SECURITIES HELD
Waskom Gas Processing Company	Gas Related	1995	Tex	50%
CenterPoint Energy Gas Transmission Company	Gas Related	01/31/1992	Del	100%
CenterPoint Energy Hub Services, Inc.*	Gas Related	02/16/1994	Del	100%
CenterPoint Energy Illinois Gas Transmission Company	Gas Related	06/30/1998	Del	100%
CenterPoint Energy Intrastate Holdings, LLC	Gas Related	06/26/1998	Del	100%
Pine Pipeline Acquisition Company, LLC	Gas Related	05/22/1998	Del	81.4%
CenterPoint Energy Services, Inc.	Energy Related	10/18/1995	Del	100%
CenterPoint Energy Mississippi River Transmission Corporation	Gas Related	09/03/1958	Del	100%
CenterPoint Energy MRT Services Company	Energy Related	08/28/1995	Del	100%

Edgar Filing: CENTERPOINT ENERGY INC - Form U-9C-3

CenterPoint Energy Pipeline Services, Inc.	Gas Related	11/13/1997	Del	100%
CenterPoint Energy OQ, LLC	Energy Related	03/23/2000	Del	100%

-4-

NAME OF REPORTING COMPANY	ENERGY OR GAS RELATED COMPANY	DATE OF ORGANIZATION	STATE OF ORGANIZATION	PERCENTAGE OF VOTING SECURITIES HELD
OQ Partners	Energy Related	03/22/2000	Tex	50%
Entex Gas Marketing Company*	Energy Related	4/23/1987	Tex	100%
Entex NGV, Inc.*	Energy Related	6/03/1993	Del	100%
Entex Oil & Gas Company*	Gas Related	9/05/1977	Tex	100%
CenterPoint Energy Intrastate Pipelines, Inc.	Gas Related	11/19/1977	Tex	100%
Minnesota Intrastate Pipeline Company	Gas Related	10/06/1993	Del	100%
National Furnace Company	Energy Related	6/14/1976	Tex	100%
Noram Utility Services, Inc. *	Energy Related	08/28/1995	Del	100%
CenterPoint Energy Offshore Management Services, LLC	Gas Related	04/15/2005	Del	100%

Edgar Filing: CENTERPOINT ENERGY INC - Form U-9C-3

(a) Utility Holding Company, LLC is a wholly-owned, registered public utility holding company subsidiary of CenterPoint Energy, Inc. CenterPoint Energy Power Systems, Inc., CenterPoint Energy Products, Inc., Utility Rail Services, Inc. and CenterPoint Energy Resources Corp. are direct, wholly owned subsidiaries of Utility Holding Company, LLC. Other companies are direct or indirect subsidiaries of CenterPoint Energy Resources Corp, as indicated.

*- operationally inactive entity

LLC: Limited Liability Corporation

-5-

ITEM 2.-ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

ISSUANCES AND RENEWALS OF SECURITIES (in thousands of dollars):

Company issuing security	Type of security issued	Principal amount of security	Issue or renewal	Cost of capital	Person to whom security was issued
-----	-----	-----	-----	-----	-----
None					

-6-

ITEM 3.-ASSOCIATED TRANSACTIONS

Part I.-Transactions performed by reporting companies on behalf of associate companies (in thousands of dollars).

Reporting Company Rendering Services	Associate Company Receiving Services	Types of Services Rendered	Direct Costs Charged	Indirect Costs Charged
-----	-----	-----	-----	-----
Minnesota Intrastate Pipeline Company	CenterPoint Energy Minnesota Gas	Gas sales & purchases	\$ 402	\$ -
CenterPoint Energy Mississippi River Transmission	CenterPoint Energy Gas Transmission	Gas sales, transportation & storage	1,324	-

Edgar Filing: CENTERPOINT ENERGY INC - Form U-9C-3

CenterPoint Energy Mississippi River Transmission	CenterPoint Energy Services, Inc.	Gas sales & storage	1,380	-
CenterPoint Energy Gas Transmission	CenterPoint Energy Mississippi River Transmission	Ancillary Services	143	-
CenterPoint Energy Gas Transmission	CenterPoint Energy Field Services	Product Extraction	1,244	-
CenterPoint Energy Gas Transmission	CenterPoint Energy Arkla	Gas transportation & storage	24,399	-
CenterPoint Energy Gas Transmission	CenterPoint Energy Services, Inc.	Gas sales, transportation & storage	3,378	-
CenterPoint Energy Pipeline Services	CenterPoint Energy Resources Corp	Other Operations	4	-
CenterPoint Energy Pipeline Services	CenterPoint Energy Mississippi River Transmission	Operation, maintenance, construction & engineering services	10,590	-
CenterPoint Energy Pipeline Services	CenterPoint Energy Gas Transmission	Operation, maintenance, construction & engineering services	44,780	-
CenterPoint Energy Pipeline Services	CenterPoint Energy Field Services	Operation, maintenance, construction & engineering services	858	-
CenterPoint Energy Pipeline Services	CenterPoint Energy Arkla	Engineering and project mgmt services	18	-
CenterPoint Energy Pipeline Services	CenterPoint Energy Minnesota Gas	Engineering and project mgmt services	149	-

Edgar Filing: CENTERPOINT ENERGY INC - Form U-9C-3

Reporting Company Rendering Services	Associate Company Receiving Services	Types of Services Rendered	Direct Costs Charged	Indirect Costs Charged
CenterPoint Energy Pipeline Services	CenterPoint Energy Entex	Engineering and project mgmt services other operations	10	-
CenterPoint Energy Pipeline Services	CenterPoint Energy Illinois Gas Transmission	Operation, maintenance, construction & engineering services	95	-
CenterPoint Energy Field Services	CenterPoint Energy Mississippi River Transmission	Ancillary services	141	-
CenterPoint Energy Field Services	CenterPoint Energy Gas Transmission	Gas transportation & ancillary services	538	-
CenterPoint Energy Field Services	CenterPoint Energy Gas Processing	Products extraction	509	-
CenterPoint Energy Field Services	CenterPoint Energy Services, Inc.	Gas sales & transportation	5,230	-
CenterPoint Energy Field Services	CenterPoint Energy Entex	Ancillary services	3	-
CenterPoint Energy Gas Processing	CenterPoint Energy Field Services	Products extraction	307	-
CenterPoint Energy Services, Inc.	CenterPoint Energy Arkla	Gas sales & gas resale	15,080	-
CenterPoint Energy Services, Inc.	CenterPoint Energy Intrastate Pipeline	Gas resale	37,838	-
CenterPoint Energy Services, Inc.	CenterPoint Energy Entex	Gas sales & gas resale	23,839	-
CenterPoint	LA Trans Div of	Gas resale	12,325	-

Edgar Filing: CENTERPOINT ENERGY INC - Form U-9C-3

Energy Services, Inc.	Entex			
CenterPoint Energy Intrastate Pipeline	CenterPoint Energy Entex	Gas resale & gas transportation	96	-
CenterPoint Energy Alternative Fuels	CenterPoint Energy Entex	Ancillary services	48	-

-8-

Part II.-Transactions performed by associate companies on behalf of reporting companies (in thousands of dollars).

Associate Company Rendering Services	Reporting Company Receiving Services	Types of Services Rendered	Direct Costs Charged	Indirect Costs Charged
CenterPoint Energy Service Company, LLC	CenterPoint Energy Gas Transmission Co.	(1)	\$ 2,743	\$ 2,165
CenterPoint Energy Service Company, LLC	CenterPoint Energy Services, Inc.	(1)	782	668
CenterPoint Energy Service Company, LLC	CenterPoint Energy Field Services, Inc.	(1)	235	618
CenterPoint Energy Service Company, LLC	CenterPoint Energy Mississippi River Transportation	(1)	91	1
CenterPoint Energy Service Company, LLC	CenterPoint Energy Pipeline Services, Inc.	(1)	177	1
CenterPoint Energy Service Company, LLC	CenterPoint Energy Products, Inc.	(1)	58	-
CenterPoint Energy Service Company, LLC	CenterPoint Energy Alternative Fuels, Inc.	(1)	5	-
CenterPoint Energy Service Company, LLC	CenterPoint Energy Offshore Management Services, LLC	(1)	1	-

Edgar Filing: CENTERPOINT ENERGY INC - Form U-9C-3

(1) Services provided include Information Technology, Shared Services, Legal, Finance, Human Resources, and Corporate Communications

-9-

Associate Company Rendering Services	Reporting Company Receiving Services	Types of Services Rendered	Direct Costs Charged	Indirect Costs Charged
CenterPoint Energy Houston Electric	CenterPoint Energy Products, Inc.	Broadband over power line services	118	-
CenterPoint Energy Houston Electric	CenterPoint Energy Products, Inc.	SCADA Support	1	-
CenterPoint Energy Houston Electric	CenterPoint Energy Products, Inc.	Telecom services	1	-
CenterPoint Energy Minnesota Gas	CenterPoint Energy Services, Inc.	Gas sales and balancing	1	-

-10-

ITEM 4.-SUMMARY OF AGGREGATE INVESTMENT (IN THOUSANDS)

Investments in energy-related companies:

Total consolidated capitalization as of September 30, 2005 \$ 9,770,093

Total capitalization multiplied by 15% (Line 1 multiplied by 0.15) 1,465,514

Greater of \$50 million or line 2 \$ 1,465,514

Total current aggregate investment:
(categorized by major line of
energy-related business)

(Category 3) \$ (3,546)
Natural gas vehicles
(Category 4) (12,384)

Edgar Filing: CENTERPOINT ENERGY INC - Form U-9C-3

Appliance Sales (Category 5)	(17,798)	
Energy Marketing (Category 7)	1,672	
Services	-----	
Total current aggregate Investment		(32,056)

Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (line 3 less line 4) \$ 1,433,458

Investments in gas-related companies:

Total current aggregate investment: (categorized by major line of energy-related business)		
Gas related business category (1) (Category 1) Transportation or storage of natural gas	\$ 1,515,956	
Gas related business category (2) (Category 2) Supply of natural gas	31,870	

Total current aggregate investment		\$ 1,547,826

-11-

ITEM 5.-OTHER INVESTMENTS (IN THOUSANDS)

Major Line of Energy-Related Business (a)	Other Investment in Last U-9C-3 Report	Other Investment in This U-9C-3 Report	Reason for Difference in Other Investment
-----	-----	-----	-----

None

-12-

ITEM 6.-FINANCIAL STATEMENTS AND EXHIBITS

A. Current Period Financial Statements: (filed with a request for confidential treatment)

CenterPoint Energy Power Systems, Inc. Balance Sheet as of September 30, 2005

CenterPoint Energy Power Systems, Inc. Statement of Operations for the three and nine months ended September 30, 2005

CenterPoint Energy Products, Inc. Balance Sheet as of September 30, 2005

CenterPoint Energy Products, Inc. Statement of Operations for the three and nine

Edgar Filing: CENTERPOINT ENERGY INC - Form U-9C-3

months ended September 30, 2005

Arkla Industries, Inc. Balance Sheet as of September 30, 2005

Arkla Industries, Inc. Statement of Operations for the three and nine months ended September 30, 2005

CenterPoint Energy Alternative Fuels, Inc. Balance Sheet as of September 30, 2005

CenterPoint Energy Alternative Fuels, Inc. Statement of Operations for the three and nine months ended September 30, 2005

CenterPoint Energy Field Services, Inc. Balance Sheet as of September 30, 2005

CenterPoint Energy Field Services, Inc. Statement of Operations for the three and nine months ended September 30, 2005

CenterPoint Energy Gas Processing, Inc. Balance Sheet as of September 30, 2005

CenterPoint Energy Gas Processing, Inc. Statement of Operations for the three and nine months ended September 30, 2005

CenterPoint Energy Gas Transmission Co. Balance Sheet as of September 30, 2005

CenterPoint Energy Gas Transmission Co. Statement of Operations for the three and nine months ended September 30, 2005

CenterPoint Energy Illinois Gas Transmission Co. Balance Sheet as of September 30, 2005

CenterPoint Energy Illinois Gas Transmission Co. Statement of Operations for the three and nine months ended September 30, 2005

CenterPoint Energy Intrastate Holdings, LLC Balance Sheet as of September 30, 2005

CenterPoint Energy Intrastate Holdings, LLC Statement of Operations for the three and nine months ended September 30, 2005

Pine Pipeline Acquisition Company, LLC Balance Sheet as of September 30, 2005

Pine Pipeline Acquisition Company, LLC Statement of Operations for the three and nine months ended September 30, 2005

CenterPoint Energy Services, Inc. Balance Sheet as of September 30, 2005

CenterPoint Energy Services, Inc. Statement of Operations for the three and nine months ended September 30, 2005

CenterPoint Energy Mississippi River Transmission Corp. Balance Sheet as of September 30, 2005

CenterPoint Energy Mississippi River Transmission Corp. Statement of Operations for the three and nine months ended September 30, 2005

CenterPoint Energy MRT Services Company Balance Sheet as of September 30, 2005

CenterPoint Energy MRT Services Company Statement of Operations for the three and nine months ended September 30, 2005

CenterPoint Energy Pipeline Services, Inc. Balance Sheet as of September 30,

Edgar Filing: CENTERPOINT ENERGY INC - Form U-9C-3

2005

CenterPoint Energy Pipeline Services, Inc. Statement of Operations for the three and nine months ended September 30, 2005

-13-

CenterPoint Energy OQ, LLC Balance Sheet as of September 30, 2005

CenterPoint Energy OQ, LLC Statement of Operations for the three and nine months ended September 30, 2005

CenterPoint Energy Intrastate Pipelines, Inc. Balance Sheet as of September 30, 2005

CenterPoint Energy Intrastate Pipelines, Inc. Statement of Operations for the three and nine months ended September 30, 2005

Minnesota Intrastate Pipeline Company Balance Sheet as of September 30, 2005

Minnesota Intrastate Pipeline Company Statement of Operations for the three and nine months ended September 30, 2005

National Furnace Company Balance Sheet as of September 30, 2005

National Furnace Company Statement of Operations for the three and nine months ended September 30, 2005

CenterPoint Energy Offshore Management Services, LLC Balance Sheet as of September 30, 2005

CenterPoint Energy Offshore Management Services, LLC Statement of Operations for the three and nine months ended September 30, 2005

B. Exhibits:

None.

SIGNATURE

Pursuant to the requirements of the Public Utility Holding Company Act of 1935, as amended, the Applicants have duly caused this Application/Declaration to be signed on their behalf by the undersigned thereunto duly authorized.

Date: November 23, 2005

CENTERPOINT ENERGY, INC.
and its Subsidiaries

By: /s/ WALTER L. FITZGERALD

Walter L. Fitzgerald
Vice President and Controller
CenterPoint Energy, Inc.

-14-