

Express Scripts Holding Co.  
Form 3  
February 10, 2014

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â Houston Christine</p> <p>(Last) (First) (Middle)</p> <p>C/O EXPRESS SCRIPTS HOLDING COMPANY,Â ONE EXPRESS WAY</p> <p>(Street)</p> <p>ST. LOUIS,Â MOÂ 63121</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>02/01/2014</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>Express Scripts Holding Co. [ESRX]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>Sr. VP, Operations</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p>
--	---	--	---	---	--

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	12,229	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
---	---	--	---	---	--

Edgar Filing: Express Scripts Holding Co. - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (Right-to-Buy)	Â <u>(1)</u>	03/06/2020	Express Scripts Holding Company Common Stock	25,288	\$ 58.17	D	Â
Non-Qualified Stock Option (Right-to-Buy)	Â <u>(2)</u>	02/27/2019	Express Scripts Holding Company Common Stock	22,898	\$ 53.05	D	Â
Non-Qualified Stock Option (Right-to-Buy)	Â <u>(3)</u>	11/17/2018	Express Scripts Holding Company Common Stock	19,889	\$ 43.77	D	Â
Non-Qualified Stock Option (Right-to-Buy)	Â <u>(4)</u>	03/02/2018	Express Scripts Holding Company Common Stock	10,104	\$ 56.5	D	Â
Non-Qualified Stock Option (Right-to-Buy)	Â <u>(5)</u>	03/03/2017	Express Scripts Holding Company Common Stock	10,742	\$ 49.495	D	Â
Non-Qualified Stock Option (Right-to-Buy)	Â <u>(6)</u>	03/02/2016	Express Scripts Holding Company Common Stock	15,002	\$ 22.87	D	Â
Non-Qualified Stock Option (Right-to-Buy)	Â <u>(7)</u>	02/26/2015	Express Scripts Holding Company Common Stock	3,646	\$ 31.92	D	Â
	Â <u>(7)</u>	02/26/2015		12,028	\$ 31.92	D	Â

Option Type	Exercise Price	Expiration Date	Company	Quantity	Price	Dividend	Other
Non-Qualified Stock Option (Right-to-Buy)			Express Scripts Holding Company Common Stock				
Stock Appreciation Right	Â (8)	02/22/2014	Express Scripts Holding Company Common Stock	8,948	\$ 19.662	D	Â
Phantom Stock Unit	Â (9)	Â (9)	Express Scripts Holding Company Common Stock	97.2905	\$ (9)	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Houston Christine C/O EXPRESS SCRIPTS HOLDING COMPANY ONE EXPRESS WAY ST. LOUIS, MO 63121	Â	Â	Â Sr. VP, Operations	Â

## Signatures

/s/ Christine A. Houston 02/07/2014

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of stock options pursuant to the Express Scripts, Inc. 2011 Long-Term Incentive Plan. Vests in three (3) equal installments on February 28, 2014, February 28, 2015, and February 28, 2016.
- (2) Grant of stock options pursuant to the Express Scripts, Inc. 2011 Long-Term Incentive Plan. Vests in three (3) equal installments on February 28, 2013, February 28, 2014, and February 28, 2015.
- (3) Grant of stock options pursuant to the Express Scripts, Inc. 2011 Long-Term Incentive Plan. All shares vested on November 17, 2013.
- (4) Grant of stock options pursuant to the Express Scripts, Inc. 2000 Long-Term Incentive Plan. Vests in three (3) equal installments on February 28, 2012, February 28, 2013, and February 28, 2014.
- (5) Grant of stock options pursuant to the Express Scripts, Inc. 2000 Long-Term Incentive Plan. All shares vested on February 28, 2013.

## Edgar Filing: Express Scripts Holding Co. - Form 3

- (6) Grant of stock options pursuant to the Express Scripts, Inc. 2000 Long-Term Incentive Plan. All shares vested on March 2, 2012.
- (7) Grant of stock options pursuant to the Express Scripts, Inc. 2000 Long-Term Incentive Plan. All shares vested on February 26, 2011.
- (8) Grant of stock appreciation rights pursuant to the Express Scripts, Inc. 2000 Long-Term Incentive Plan. All shares vested on February 22, 2010.
- (9) Phantom Stock Units credited under the Company's Executive Deferred Compensation Plan. All company credits to the participant's account vest in three (3) years after the plan year to which a particular credit relates. Upon distribution each Phantom Stock Unit converts to one share of the Company's Common Stock.

Â

### **Remarks:**

Ex. 24 - Power of Attorney is attached.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.