Edgar Filing: Express Scripts Holding Co. - Form 4

Express Scrip Form 4 March 07, 20	pts Holding Co.)14										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287		
Check thi if no long subject to Section 1 Form 4 o Form 5	ger STATEM 6. r	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP SECURITIES							Expires: Estimated burden hou response	urs per	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type F	Responses)										
PAZ GEORGE Symb			Symbol	ssuer Name and Ticker or Trading ool ress Scripts Holding Co. [ESRX]				5. Relationship of Reporting Person(s) to Issuer			
			•	3. Date of Earliest Transaction				(Check all applicable)			
				/Day/Year)				X Director 10% Owner X Officer (give title Other (specify below) below) Chairman & CEO			
				endment, Date Original onth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
(City)		(Zip)				a	•.•	Person			
		-					-	uired, Disposed of		•	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
C				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	03/05/2014			А	74,349	А	\$ 0 <u>(1)</u>	791,500	D		
Common Stock	03/05/2014			F	35,651 (2)	D	\$ 77.15	755,849	D		
Common Stock	03/05/2014			А	32,404	А	\$ 0 <u>(3)</u>	788,253	D		
Common Stock								64,555	I	By Spouse Trust	
Common Stock								64,555	Ι	By Reporting	

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							Perso Trust			
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.										
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Ar Nu Sh	
Non-Qualified Stock Option (Right-to-Buy)	\$ 77.15	03/05/2014		A	221,506	<u>(4)</u>	03/05/2021	Express Scripts Holding Company Common Stock	21	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
PAZ GEORGE C/O EXPRESS SCRIPTS HOLDING COMPANY ONE EXPRESS WAY ST. LOUIS, MO 63121	Х		Chairman & CEO			
Signatures						
/s/ Martin P. Akins, as Attorney-in-Fact for George Paz		03/07/2014				
**Signature of Reporting Person		Date				
Explanation of Posponsos						

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Vesting of performance shares granted under Express Scripts, Inc. 2000 Long-Term Incentive Plan.

(2) Represents shares tendered to Company to cover Reporting Person's tax liability due upon vesting of performance shares.

- (3) Grant of restricted stock units pursuant to Express Scripts, Inc. 2011 Long-Term Incentive Plan. Awards vest in three equal installments on February 28, 2015, February 28, 2016 and February 28, 2017.
- (4) Grant of stock option pursuant to Express Scripts, Inc. 2011 Long-Term Incentive Plan. Vests in three equal installments on February 28, 2015, February 28, 2016, and February 28, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.