Edgar Filing: Express Scripts Holding Co. - Form 4

Express Scr Form 4 March 17, 2 FORN	ЛЛ	CTT A TTEC	SECU			СПА	NCEC	NMMESION	OMB AF	PROVAL	
	UNITED	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								3235-0287	
Check th if no lon subject to Section Form 4 Form 5 obligation may cor <i>See</i> Insta 1(b).	nger to 16. or Filed put ons ntinue.	Washington, D.C. 20549 Number: 0200 044 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Securities Exchange Act of 1934, Expires: 200 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 0 30(h) of the Investment Company Act of 1940 1940 10200 044									
(Print or Type	Responses)										
Wimberly Gary Symbol				I				 Relationship of Reporting Person(s) to Issuer (Check all applicable) 			
(Last)	(First) (Middle)	3. Date of Earliest Transaction (Check a					an applicable)			
								Director 10% Owner _XOfficer (give title Other (specify below) below) Sr. VP & Ch. Information Off.			
	(Street)			endment, D nth/Day/Yea	ate Origina	ıl		5. Individual or Joi Applicable Line)	int/Group Filin	g(Check	
ST. LOUIS	S, MO 63121		1 1100(1110	ndi Day i c			-	_X_ Form filed by O Form filed by M Person			
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative	Secur	ities Acqui	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if Transactionor Disposed of (D) Securities Owner Code (Instr. 3, 4 and 5) Beneficially Form: ay/Year) (Instr. 8) Owned Direct (A) (A) Transaction(s) (Instr.				Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
C				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	03/13/2014			Μ	8,068	А	\$ 31.92	31,689	D		
Common Stock	03/13/2014			М	26,468	А	\$ 49.5	58,157	D		
Common Stock	03/13/2014			S	44,497	D	\$ 78.412 (1)	13,660	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		7. Title and Amoun Underlying Securit (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Nun of S
Non-Qualified Stock Option (Right-to-Buy)	\$ 31.92	03/13/2014		М	8,068	(2)	02/26/2015	Express Scripts Holding Company Common Stock	8,0
Non-Qualified Stock Option (Right-to-Buy)	\$ 49.5	03/13/2014		М	26,468	<u>(3)</u>	03/03/2017	Express Scripts Holding Company Common Stock	26,

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Wimberly Gary C/O EXPRESS SCRIPTS HOLDING COMPANY ONE EXPRESS WAY ST. LOUIS, MO 63121 Signatures			Sr. VP & Ch. Information Off.				
Signatures							

/s/ Gary M. Wimberly

03/17/2014

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$78.01 to \$78.81, inclusive. The Reporting Person undertakes to provide to Express Scripts Holding Company, any security holder of Express Scripts (1)

(1) Holding Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price.

- (2) Grant of stock option pursuant to Express Scripts, Inc. 2000 Long-Term Incentive Plan. Vested in three equal installments on February 26, 2009, February 26, 2010 and February 26, 2011.
- (3) Grant of stock option pursuant to Express Scripts, Inc. 2000 Long-Term Incentive Plan. Vested in three equal installments on February 28, 2011, February 28, 2012, and February 28, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.