

BUFFETT WARREN E

Form 4

February 04, 2003

OMB APPROVAL
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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

**FORM 4**

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935  
or Section 30(h) of the Investment Company Act of 1940**

- ☐ Check this box if no longer  
subject to Section 16.  
Form 4 or Form 5  
obligations may continue.  
*See* Instruction 1(b).

<b>1. Name and Address of Reporting Person*</b>  Buffett, Warren E. <hr/> <i>(Last) (First) (Middle)</i>  The Gillette Company 800 Boylston Street <hr/> <i>(Street)</i>	<b>2. Issuer Name and Ticker or Trading Symbol</b>  The Gillette Company G <hr/>	<b>3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)</b>  <hr/>																
Boston, MA 02199 <hr/> <i>(City) (State) (Zip)</i> United States	<b>4. Statement for Month/Day/Year</b>  January 31, 2003 <hr/>	<b>5. If Amendment, Date of Original (Month/Day/Year)</b>  <hr/>																
<b>6. Relationship of Reporting Person(s) to Issuer (Check All Applicable)</b>  <table style="width: 100%; border: none;"> <tr> <td style="width: 10%; text-align: center;">X</td> <td style="width: 40%;">Director</td> <td style="width: 10%; text-align: center;">O</td> <td style="width: 40%;">10% Owner</td> </tr> <tr> <td style="text-align: center;">O</td> <td colspan="3">Officer (give title below)</td> </tr> <tr> <td style="text-align: center;">O</td> <td colspan="3">Other (specify below)</td> </tr> </table> <hr/>		X	Director	O	10% Owner	O	Officer (give title below)			O	Other (specify below)			<b>7. Individual or Joint/Group Filing (Check Applicable Line)</b>  <table style="width: 100%; border: none;"> <tr> <td style="width: 10%; text-align: center;">X</td> <td style="width: 90%;">Form Filed by One Reporting Person</td> </tr> <tr> <td style="text-align: center;">O</td> <td>Form Filed by More than One Reporting Person</td> </tr> </table>	X	Form Filed by One Reporting Person	O	Form Filed by More than One Reporting Person
X	Director	O	10% Owner															
O	Officer (give title below)																	
O	Other (specify below)																	
X	Form Filed by One Reporting Person																	
O	Form Filed by More than One Reporting Person																	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* instruction 4(b)(v).

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Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security <i>(Instr. 3)</i>	2. Transaction Date <i>(Month/Day/Year)</i>	2A. Deemed Execution Date, if any <i>(Month/Day/Year)</i>	3. Transaction Code <i>(Instr. 8)</i>	4. Securities Acquired (A) or Disposed of (D) <i>(Instr. 3, 4 and 5)</i>	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) <i>(Instr. 3 and 4)</i>	6. Ownership Form: Direct (D) or Indirect (I) <i>(Instr. 4)</i>	7. Nature of Indirect Beneficial Ownership <i>(Instr. 4)</i>
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			Code V	Amount	(A) or (D)	Price	
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Common stock \$1 par					2,010.46(1)	D	
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Common stock \$1 par					96,000,000.00	I	By Berkshire Hathaway Inc.
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**Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
*(e.g., puts, calls, warrants, options, convertible securities)*

1. Title of Derivative Security <i>(Instr. 3)</i>	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date <i>(Month/Day/Year)</i>	3A. Deemed Execution Date, if any <i>(Month/Day/Year)</i>	4. Transaction Code <i>(Instr. 8)</i>	5. Number of Derivative Securities Acquired (A) or Disposed of (D) <i>(Instr. 3, 4 and 5)</i>
				Code V	(A) (D)
Director Stock Option(right to buy)	\$36.41	05/20/2002		A	5,000.00(2)
Phantom Stock Units	\$0.00	01/31/2003		A	1,351.35(3)
Director Stock Option (right to buy)	\$12.31				
Director Stock Option (right to buy)	\$16.74				
Director Stock Option (right to buy)	\$20.45				
Director Stock Option (right to buy)	\$27.13				
Director Stock Option (right to buy)	\$39.41				
Director Stock Option (right to buy)	\$59.83				
Director Stock Option (right to buy)	\$50.63				
Director Stock Option (right to buy)	\$38.47				
Director Stock Option (right to buy)	\$26.08				

**Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned Continued**  
*(e.g., puts, calls, warrants, options, convertible securities)*

6. Date Exercisable and Expiration Date <i>(Month/Day/Year)</i>	7. Title and Amount of Underlying Securities <i>(Instr. 3 and 4)</i>	8. Price of Derivative Security <i>(Instr. 5)</i>	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) <i>(Instr. 4)</i>	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) <i>(Instr. 4)</i>	11. Nature of Indirect Beneficial Ownership <i>(Instr. 4)</i>	
Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
05/20/2003	05/19/2012	Common stock \$1 par	5,000.00	5,000.00	D	
Phantom Stock		Common stock \$1 par	1,351.35	\$29.60	17,490.58	D
04/19/1994	04/19/2003	Common stock \$1 par	4,000.00	4,000.00	D	
04/25/1995	04/24/2004	Common stock \$1 par	4,000.00	4,000.00	D	
04/24/1996	04/23/2005	Common stock \$1 par	4,000.00	4,000.00	D	
04/22/1997	04/21/2006	Common stock \$1 par	4,000.00	4,000.00	D	
04/21/1998	04/20/2007	Common stock \$1 par	4,000.00	4,000.00	D	
04/20/1999	04/19/2008	Common stock \$1 par	4,000.00	4,000.00	D	
04/19/2000	04/18/2009	Common stock \$1 par	4,000.00	4,000.00	D	
04/24/2001	04/21/2010	Common stock \$1 par	8,000	8,000	D	
04/23/2001	04/22/2011	Common stock \$1 par	8,000	8,000	D	

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### Explanation of Responses:

- (1) Includes shares acquired quarterly under the Company's dividend reinvestment plan.
- (2) Granted under The Gillette Company 1971 Stock Option Plan which is a Rule 16b-3 Plan.
- (3) Includes units acquired quarterly under the dividend reinvestment feature of the Deferred Compensation Plan.

/s/ Warren E. Buffett

February 4, 2003

\_\_\_\_\_  
\*\*Signature of Reporting  
Person

\_\_\_\_\_  
Date

\_\_\_\_\_  
\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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