

Edgar Filing: SONIC AUTOMOTIVE INC - Form 5

SONIC AUTOMOTIVE INC  
Form 5  
February 12, 2001

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/ OMB APPROVAL /  
/-----/  
/ OMB Number: 3235-0362 /  
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| FORM 5 |  
+-----+

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Check box if  
no longer subject ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
to Section 16.  
Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities  
obligations may Exchange Act of 1934, Section 17(a) of the  
continue. See Public Utility Holding Company Act of 1935 or  
Instruction 1(b). Section 30(f) of the Investment Company Act of 1940

Form 3 Holdings Reported

Form 4 Transactions Reported

1. Name and Address of Reporting Person\*

Brooks, William R.  
-----  
(Last) (First) (Middle)

c/o Sonic Automotive, Inc. 5401 E. Independence Blvd.  
-----  
(Street)

Charlotte, NC 28212  
-----  
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol Sonic Automotive, Inc. (SAH)  
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3. I.R.S. Identification Number of Reporting Person, if an entity  
(Voluntary)  
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4. Statement for Month/Year 12/00  
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5. If Amendment, Date of Original (Month/Year)  
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6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

Director  Officer  10% Owner  Other  
(give title below) (specify below)

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7. Individual or Joint/Group Reporting (check applicable line)

Form Filed by One Reporting Person

Form Filed by More than One Reporting Person

Table I--Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3)
			Amount	(A) or (D)	Price

\* If the form is filed by more than one reporting person, see instruction 4(b) (v).

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exercise Price of Deriv- ative Security	3. Trans- action Date (Month/ Day/ Year)	4. Transac- tion Code (Instr. 8)
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Options to purchase	\$8.88	3/31/00	A
Options to purchase	\$11.19	4/28/00	A

6. Date Exer- cisable and Expiration Date (Month/Day/ Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Deriv- ative Secur- ity (Instr. 5)	9. Number of Deriv- ative Secur- ities Bene- ficially Owned at End of Year (Instr. 4)	10
Date Exer- cisable	Expira- tion Date	Title	Amount or Number of Shares	
9/30/00	3/31/10	Class A Common Stock	10,000	
(1)	4/28/10	Class A Common Stock	25,000	65,000

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Explanation of Responses:

(1) The options to purchase 25,000 shares of Class A Common Stock shown above will vest in three (3) equal annual installments beginning April 28, 2001.

/s/ William R. Brooks

1/17/01

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\*\*Signature of Reporting Person

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Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.