

Edgar Filing: HUMBLA CLAES - Form 5

HUMBLA CLAES  
Form 5  
February 15, 2002

FORM 5

U.S. SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
ANNUAL STATEMENT OF  
CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
OMB NUMBER: 3235-0362
EXPIRES:
PENDING
ESTIMATED AVERAGE
BURDEN HOURS
PER RESPONSE.....1.0

Filed pursuant to Section 16(a) of the  
Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility  
Holding Company Act of 1935  
or Section 30(f) of the Investment  
Company Act of 1940

Check this box if no longer subject to  
Section 16. Form 4 or Form 5 obligations may  
continue. See Instructions 1(b).

Form 3 Holdings Reported

Form 4 Transaction Reported

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1. Name and Address of Reporting Person

HUMBLA	CLAES	
(Last)	(First)	(Middle)
KLARABERGSVIADUKTEN 70,	BOX 70381	
	(Street)	
STOCKHOLM	SWEDEN	SE 107 24
(City)	(State)	(Zip)

-----  
2. Issuer Name and Ticker or Trading Symbol

AUTOLIV, INC. [ALV]

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3. IRS or Social Security Number of Reporting Person, if an entity (Voluntary)

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4. Statement for Month/Year

12/01

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5. If amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

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Formerly Vice President - Human Resources

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7. Individual or Joint/Group Reporting (check applicable line)  
  \_X\_Form Filed by One Reporting Person

  \_\_\_Form Filed by More than one Reporting Person

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Table I - Non-Derivative Securities Acquired, Disposed of, or  
Beneficially Owned

-----  
1. Title of Security (Instr. 3)

-----  
2. Transaction Date (Month/Day/Year)

-----  
3. Transaction Code (Instr. 8)

-----  
4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

-----  
                  Amount

                                  (A) or (D)

  Price

-----  
5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year  
  (Instr. 3 and 4)

-----  
6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)

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7. Nature of Indirect Beneficial Ownership (Instr. 4)

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[TYPE ENTRIES HERE]

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TABLE II - Derivative Securities, Acquired, Disposed of, or Beneficially  
Owned (e.g., puts, calls, warrants, options, convertible  
securities)

-----  
1. Title of Derivative Security (Instr. 3)

-----  
2. Conversion of Exercise Price of Derivative Security

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3. Transaction Date (Month/Day/Year)

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4. Transaction Code (Instr. 8)

5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

(A)	(D)
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6. Date Exercisable and Expiration Date (Month/Day/Year)

Date Exercisable	Expiration Date
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7. Title and Amount of Underlying Securities (Instr. 3 and 4)

Title	Amount or Number of Shares
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8. Price of Derivative Security (Instr. 4)

9. Number of Derivative Securities Beneficially Owned at End of Year (Instr. 4)

10. Ownership of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)

11. Nature of Indirect Beneficial Ownership (Instr. 4)

[TYPE ENTRIES HERE]

1.	3.	4.	5.	6.	7.		
Options	06/18/01	A(1)	(A) 5318	06/18/02	06/18/11	Common Stock(3)	5,318
Options	06/18/01	A(2)	(A) 10,000	06/18/02	06/18/11	Common Stock(3)	40,000

EXPLANATION OF RESPONSES:

- (1) The options were issued pursuant to the commitments assumed on December 15, 2000, in connection with the cancellation of previously issued options. The options issued on June 18, 2001 represent 20% of the number of options canceled on December 15, 2000.
- (2) The options were issued pursuant to the 1997 Stock Incentive Plan.
- (3) Common Stock, par value \$1 per share.
- (4) This number does not include other derivative securities (Restricted Stock Units) previously issued and reported.

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/S/ Claes Humbla

2/12/02

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\*\* SIGNATURE OF REPORTING PERSON

-----  
DATE

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\*\* Intentional misstatements or omissions of facts constitute Federal  
Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78FF(A).

NOTE: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure

Potential persons who are to respond to the collection of information  
contained in this form are not required to respond unless the form  
displays a currently valid OMB number.  
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