

BARNES THOMAS O

Form 4

November 03, 2009

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
BARNES THOMAS O

(Last) (First) (Middle)

BARNES GROUP INC., 123 MAIN
STREET

(Street)

BRISTOL, CT 06011-0489

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

BARNES GROUP INC [B]

3. Date of Earliest Transaction
(Month/Day/Year)

11/02/2009

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify
below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/06/2009		G ⁽¹⁾	V 30,894 D \$ 0	202,565 ⁽²⁾	I	Trust #CF2363
Common Stock	04/08/2009		G ⁽³⁾	V 32,000 D \$ 0	306,196 ⁽²⁾	I	Trust #CF-2078
Common Stock	04/08/2009		G ⁽³⁾	V 4,000 A \$ 0	0	D	
Common Stock	11/02/2009		M	8,000 ⁽⁴⁾ A \$ 8.4687	0	D	
Common Stock	11/02/2009		S	8,000 ⁽⁴⁾ D \$ 16.5326	320,999.0174 ⁽⁵⁾	D	

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Common Stock	28,080.029	I	By 401(k) Plan
Common Stock	3,852.9466 ⁽²⁾	I	By my wife
Common Stock	39,356 ⁽²⁾	I	Trust #42-01-100-85465
Common Stock	418,768 ⁽²⁾	I	Trust #42-01-100-85465
Common Stock	76,470 ⁽²⁾	I	Trust #42-01-100-85507
Common Stock	161,652 ⁽²⁾	I	Trust #42-01-100-85507
Common Stock	487,540 ⁽²⁾	I	Trust #42-01-100-85507
Common Stock	414,756 ⁽²⁾	I	Trust #42-01-100-85465
Common Stock	84,432 ⁽²⁾	I	Trust #42-01-100-85507
Common Stock	1,316,488 ⁽²⁾	I	Trust #CF5044

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Employee Stk Option-Right to Buy	\$ 8.4687	11/02/2009		M	8,000 ⁽⁴⁾	⁽⁶⁾ 02/10/2010	Common Stock	8,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BARNES THOMAS O BARNES GROUP INC. 123 MAIN STREET BRISTOL, CT 06011-0489	X			

Signatures

Signe S. Gates, pursuant to Power of Attorney

11/03/2009

****Signature of Reporting Person**

Date _____

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Gift of 30,894 shares to Harry F. and Carol Barnes Family Foundation.
- (2) Reporting Person hereby disclaims beneficial ownership of shares held by his Wife, and the Trusts except to the extent of his pecuniary interest.
- (3) Gift from H.F. Barnes Trust No. CF2078 of 4000 shares each to 8 recipients, including Reporting Person, for total of 32,000 shares.
- (4) Transaction is made in accordance with a 10b5-1 Plan.
- (5) Includes a balance of 1421 Restricted Stock Units granted 2/13/08 and 5895 granted 2/10/09 that are subject to forfeiture if certain events occur.
- (6) The options fully vested on 2/10/2004.
- (7) 1 for 1.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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